

FOREST MANAGEMENT AND STUMP-TO-FOREST GATE CHAIN-OF-CUSTODY SURVEILLANCE EVALUATION REPORT

Mendocino Redwood Company Resource Manager Certificate

SCS-FM/COC-00001G

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CERTIFIED	EXPIRATION
14 September 2017	13 September 2022

DATE OF FIELD EVALUATION
30 June – 2 July 2021
DATE OF REPORT FINALIZATION
4 October 2021

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Foreword

Cycle in annual surveillance evaluations				
<input type="checkbox"/> 1 st annual evaluation	<input type="checkbox"/> 2 nd annual evaluation	<input type="checkbox"/> 3 rd annual evaluation	<input checked="" type="checkbox"/> 4 th annual evaluation	<input type="checkbox"/> Other (expansion of scope, Major CAR audit, special audit, etc.):
Name of Forest Management Enterprise (FME) and abbreviation used in this report:				
MRCRM				

All certificates issued by SCS under the aegis of the Forest Stewardship Council (FSC) require annual evaluations to ascertain ongoing conformance with the requirements and standards of certification. A public summary of the initial evaluation is available on the FSC Certificate Database <http://info.fsc.org/>.

Pursuant to FSC and SCS guidelines, annual / surveillance evaluations are not intended to comprehensively examine the full scope of the certified forest operations, as the cost of a full-scope evaluation would be prohibitive and it is not mandated by FSC evaluation protocols. Rather, annual evaluations are comprised of three main components:

- A focused assessment of the status of any outstanding conditions or Corrective Action Requests (CARs; see discussion in section 4.0 for those CARs and their disposition as a result of this annual evaluation);
- Follow-up inquiry into any issues that may have arisen since the award of certification or prior to this evaluation; and
- As necessary given the breadth of coverage associated with the first two components, an additional focus on selected topics or issues, the selection of which is not known to the certificate holder prior to the evaluation.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Forest Stewardship Council. This section is made available to the public and is intended to provide an overview of the evaluation process, the management programs and policies applied to the forest, and the results of the evaluation. Section A will be posted on the FSC Certificate Database (<http://info.fsc.org/>) no less than 90 days after completion of the on-site evaluation. Section B contains more detailed results and information for required FSC record-keeping or the use by the FME.

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SECTION A – PUBLIC SUMMARY

1. General Information

1.1 Evaluation Team

Auditor name:	Evan Poirson	Auditor role:	Audit Team Leader
Qualifications:	Evan is the Senior Program Associate for the Forest Management program at SCS and has worked in the program since 2015. He has been a Lead FSC Forest Management auditor since 2018, and a Lead FSC COC auditor since 2020. He has conducted Forest Management, COC, and Salvaged Wood audits in Argentina, Costa Rica, Dominican Republic, Ghana, Honduras, Mexico, Panama, Paraguay, Spain, South Korea, and in several regions of the United States. In addition to auditing, his duties include managing the administrative and quality-related aspects of forest management operations at SCS headquarters in California. He holds degrees in Biology (conservation emphasis) from Occidental College (B.A., 2009) and Environmental Management from Duke University (M.E.M., 2014). In 2010-12, he served as an environmental volunteer of the United States Peace Corps in the Dominican Republic.		

1.2 Total Time Spent on Evaluation

A. Number of days spent on-site for evaluation	3.0
B. Number of auditors participating in on-site evaluation	1.0
C. Number of days spent by any technical experts (in addition to amount in line A)	0
D. Additional days spent on preparation, stakeholder consultation, and follow-up	1.0
E. Total number of person days used in evaluation	4.0

1.3 Applicable Standards

All applicable FSC standards are available on the websites of FSC International (www.fsc.org) or SCS Global Services (www.SCSglobalServices.com). All standards are available on request from SCS Global Services via the comment form on our website. When no national standard exists for the country/region, SCS Interim Standards are developed by modifying SCS's Generic Interim Standard to reflect forest management in the region and by incorporating relevant components of any Draft Regional/National Standard and comments from stakeholders. More than one month prior to the start of the field evaluation, SCS Draft Interim Standards are provided to stakeholders identified by FSC International, SCS, forest managers under evaluation, and the FSC National or Regional Office for comment. SCS's COC indicators for FMEs are based on the most current versions of the FSC Chain of Custody Standard, FSC Standard for Group Entities in Forest Management Groups (FSC-STD-30-005), and FSC Accreditation Requirements. "Applicable standards" are all FSC standards with which the certified entity must comply, not just the standards selected for evaluation this year.

Standards applicable <i>NOTE: Please include the full standard name and Version number and check all that apply based on type of certificate.</i>	<input checked="" type="checkbox"/> Forest Stewardship Standard(s), including version: FSC-US Forest Management Standard (v1-0; 2010)
	<input checked="" type="checkbox"/> FSC Trademark Standard (FSC-STD-50-001 V2-0)
	<input checked="" type="checkbox"/> SCS COC indicators for FMEs, V8-0
	<input checked="" type="checkbox"/> FSC standard for group entities in forest management groups (FSC-STD-30-005), 2-0

	<input type="checkbox"/> Other:
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1.4 Conversion Table English Units to Metric Units

Length Conversion Factors		
To convert from	To	multiply by
Mile (US Statute)	Kilometer (km)	1.609347
Foot (ft.)	Meter (m)	0.3048
Yard (yd.)	Meter (m)	0.9144
Area Conversion Factors		
To convert from	To	multiply by
Square foot (sq. ft.)	Square meter (m ²)	0.09290304
Acre (ac)	Hectare (ha)	0.4047
Volume Conversion Factors		
To convert from	To	multiply by
Cubic foot (cu ft.)	Cubic meter (m ³)	0.02831685
Gallon (gal)	Liter (l)	4.546
Quick reference		
1 acre	= 0.404686 ha	
1,000 acres	= 404.686 ha	
1 board foot	= 0.00348 cubic meters	
1,000 board feet	= 3.48 cubic meters	
1 cubic foot	= 0.028317 cubic meters	

2. Certification Evaluation Process

2.1 Evaluation Itinerary, Activities, and Site Notes

Date: 30 June 2021	
FMU / location / sites visited	Activities / notes
FME Office in Ukiah 9:00 am – 11:00 am	Opening Meeting: Introductions, client update, review scope of evaluation, audit plan, intro/update to FSC and SCS standards, confidentiality and public summary, conformance evaluation methods and tools review of open CARs/OBS, emergency and security procedures for evaluation team, and final site selection
Cooper NTMP	Field review of sites, including active harvests. Review of chemical application and rights-of-way. Review of harvest prescriptions and management strategy (property is managed to increase basal area, managed for growth to a target of 36" diameter trees). Discussion of management for successional diversity, cruising, thinning cycles, and monitoring for environmental values. Review of landing area. Discussion of cable yarding and locally contracted harvesting personnel. Review of down woody debris left for erosion control and water bars. Review of leave trees.

	Review of skid trails and road maintenance. Review of chemical application. Visit to shaded fuel break (controlled burns are rarely practiced).
<i>Lunch</i>	
10-Mile Parker NTMP 12:30 pm – 2:30 pm	Field review of sites, including active harvests. Discussion of conservation easement and monitoring (as required by easement holder). Review of HCV 1 and HCV 2 areas, and Class 1-2 WLPZ's. Discussion of harvest rates per the active NTMP and limitations due to easement conditions. Discussion of access control, recreation, and limited public access (invite-only). Discussion of rights-of-way and road maintenance. Discussion of RTE (owl) management. Review of successional diversity, control of residual damage, and snag creation via girdling of less desired fir species. Discussion of species diversity management and provenance of saplings. Review of road maintenance and culverts. Visit to special treatment zone for NSO Core Habitat.
Travel to Fortuna 2:30 pm – 4:00 pm	-
Date: 1 July 2021	
FMU / location / sites visited	Activities / notes
Families Blue Lakes NTMP 8:00 am – 10:30 am	Field review of sites, including active harvests. Review of illegal access, mostly from bikers. Discussion of ideal conditions, management strategies for large and damaged trees and crown cover. Discussion of cruising/inventory and how affected by COVID pandemic. Review of riparian buffers and differences between Class I and II WLPZ buffer zones. Review of road conditions. Visit to active harvest and discussions with active harvester personnel, including identification of PPE-related finding. Review of trip tickets. Review of RTE management and visit to protected osprey nest. Review of bridge stream crossing (replacement of culverts).
<i>Lunch</i>	
Travel to Ukiah 5:00 pm – 6:30 pm	-
Date: 2 July 2021	
Jackson Demonstration State Forest (JDSF), meeting at "Camp 20"	Field review of old-growth and late-seral management practices and related protestor conflicts, including visit to the Older Forest Development Area (OFDA). Review of permanent sample plots and inventory. Discussion of RTE management. Review of pesticide application rights-of-way. Visit to the Caspar THP and discussion of recreation (hiking, mountain biking) and social impact of enhancing visibility of harvest roads. Review of permanent plots and five-year inventories. Discussion of Jackson Advisory

	Group and Push for Public Engagement (public awareness efforts). Discussion of participation in national wood-decay study within the Caspar Environmental Watershed.
<i>Lunch</i>	
Travel to Ukiah 12:30 pm – 3:00 pm	Travel to Ukiah
FME Office in Ukiah 3:00 pm – 3:30 pm	Closing Meeting Preparation: Auditor to consolidate notes and confirm evaluation findings
FME Office in Ukiah 3:30 pm – 4:00 pm	Closing Meeting: Review preliminary findings (potential non-conformities and observations) & discuss next steps

2.2 Evaluation of Management Systems

SCS deploys interdisciplinary teams with expertise in forestry, social sciences, natural resource economics, and other relevant fields to assess an FME’s conformance to FSC standards and policies. Evaluation methods include reviewing documents and records, interviewing FME personnel and contractors, implementing sampling strategies to visit a broad number of forest cover and harvest prescription types, observing implementation of management plans and policies in the field, and collecting and analyzing stakeholder input. When there is more than one team member, each member may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant field observations, interviews, stakeholder comments, and reviewed documents and records. Where consensus among team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section and/or in observations.

3. Changes in Management Practices

- There were no significant changes in the management and/or harvesting methods that affect the FME’s conformance to the FSC standards and policies.
- Significant changes occurred since the last evaluation that may affect the FME’s conformance to FSC standards and policies (*describe*):

4. Results of Evaluation

4.1 Definitions of Major CARs, Minor CARs and Observations

Major CARs: Major nonconformances, either alone or in combination with nonconformances of all other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant FSC Criterion given the uniqueness and fragility of each forest resource. These are corrective actions that must be resolved or closed out before a certificate can be awarded. If Major CARs arise after an operation is certified, the timeframe for correcting these nonconformances is typically shorter than for Minor CARs. Certification is contingent on the certified FME’s response to the CAR within the stipulated time frame.

Minor CARs: These are corrective action requests in response to minor nonconformances, which are typically limited in scale or can be characterized as an unusual lapse in the system. Most Minor CARs are the result of nonconformance at the indicator-level. Corrective actions must be closed out within a specified time period of award of the certificate.

Observations: These are subject areas where the evaluation team concludes that there is conformance, but either future nonconformance may result due to inaction or the FME could achieve exemplary status through further refinement. Action on observations is voluntary and does not affect the maintenance of the certificate. However, observations can become CARs if performance with respect to the indicator(s) triggering the observation falls into nonconformance.

4.2 History of Findings for Certificate Period

FM Principle	Cert/Re-cert Evaluation (2017)	1 st Annual Evaluation (2018)	2 nd Annual Evaluation (2019)	3 rd Annual Evaluation (2020)	4 th Annual Evaluation (2021)
No findings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
P1			CAR 2019.3	OBS 2020.2: 1.6.a	
P2			CAR 2019.2		OBS 2021.5: 2.1.b
P3					
P4		OBS 2018.3			Minor 2021.2: 4.2.b
P5					
P6	OBS 2017.1 OBS 2017.2	CAR 2018.1 OBS 2018.2	OBS 2019.1	Minor 2020.1: 6.6.a & 6.6.e	Major 2021.1: 6.6.a & 6.6.e; Minor 2021.3: 6.7.a
P7					
P8					OBS 2021.4: 8.2.d.4
P9		OBS 2018.5			
P10					
COC for FM		OBS 2018.4			
Trademark	CAR 2017.3			Minor 2020.3: 1.3	
Group					
Other					

4.3 Existing Corrective Action Requests and Observations

Finding Number: 2020.1	
Select one: <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
FMU CAR/OBS issued to: Group Entity	
Deadline	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
FSC Indicator	FSC-US Forest Management Standard (V1-0), Indicators 6.6.a & 6.6.e
<p>Non-Conformity: Power utility and gas companies have easements allowing them to control vegetation in powerline corridors and above gas transmission pipelines that traverse some of the certified group member properties. During interviews with Auditor, the group manager stated that herbicides are likely applied by the utility and gas companies in these rights-of-ways.</p> <p>FSC recently released an interpretation clarifying that “a right-of-way or other easement that is located within the boundaries of a certified MU is subject to FSC pesticide reporting. The names and quantities of pesticides applied, and size of area treated must be included in the certificate holder’s certification report summary of quantitative pesticides data. If the areas are excised from the scope of the certificate following FSC-POL-20-003, then the certificate holder is not required to report pesticide application in these areas” (INT-STD-20-2007a_03, dated 3 April 2020).</p> <p>The FM Standard requires certificate holders to ensure that no products on the FSC list of Highly Hazardous Pesticides are used (Indicator 6.6.a), as well as requires monitoring any chemical use on the FMUs (Indicator 6.6.e). MRCRM has not requested information on herbicide applications from easement holders, nor has it placed chemical use restrictions on those easement holders; therefore, a CAR has been raised. It is graded as a Minor CAR because the non-conformity is limited to easements held by other parties, which are relatively small areas on group member properties.</p>	
<p>Corrective Action Request: The group entity shall ensure that no products on the FSC list of Highly Hazardous Pesticides are used on certified group member properties. For chemicals that are used, the effects shall be monitored and the results used for adaptive management. Additionally, records shall be kept of pest occurrences, control measures, and incidences of worker exposure to chemicals applied on the FMUs.</p>	
FME response <i>(including any evidence submitted)</i>	In response to this request MRCRM took the following actions: 1) we notified all participants of the CAR in the via email, 2) we conducted a GIS exercise to identify participating lands intersecting with known electric transmission lines (using a [California Energy Commission] data layer accessed Nov 2020), 3) upon request of 2020 annual report data, participants were reminded that their pesticide use reporting must include ROW areas on their properties, and 4) we spoke to the PG&E vegetation management supervisor for Humboldt and Mendocino counties about their procedure for using pesticides during vegetation management in ROWs, ascertaining that landowners are notified by mail when herbicide use is planned. Additionally, we are communicating with PG&E vegetation management staff to establish a process for verifying reported herbicide use/non-use going forward.

SCS review	<p>Despite some evidence of outreach by third parties that conduct chemical application on rights-of-way within the FSC certificate scope (e.g., PG&E), there remains insufficient evidence that group members are systematically made aware of pesticide application on their properties. It is therefore not possible to determine a comprehensive list of chemicals applied on member properties, nor overall conformance with FSC-POL-30-001.</p> <p>This finding has been upgraded to Major CAR 2021.1.</p>
Status of CAR:	<input type="checkbox"/> Closed <input checked="" type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2020.2	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to: Group Entity	
Deadline	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
FSC Indicator	FSC-US Forest Management Standard (V1-0), Indicator 1.6.a.
<p>Background: MRCRM has been FSC-certified for many years, which in itself demonstrates a long-term commitment to the FSC system. Over this time, including the period covered by this report, MRCRM has demonstrated strong conformance with the FSC Principles and Criteria. The MRCRM website also contains a publicly available group participant commitment to “demonstrate a long-term commitment to adhere to FSC Principles and Criteria, and FSC and FSC-US policies, including the FSC-US Land Sales Policy.” The commitment lists all group participants in the certificate, although the list is slightly out of date. MRCRM is in conformance with this indicator, but it should update the list of group participants to ensure that it reflects the current members.</p>	
<p>Observation: FME should update the list of group participants on the publicly available statement of long-term commitment to reflect the current members.</p>	
FME response (including any evidence submitted)	<p>The list was updated as of 6/18/2021 and sent to our marketing team via e-mail for update on the website. The update to the website was completed 6/18/2021 -- https://www.hrcllc.com/sites/default/files/inline-files/ParticipantsCommittment_UPDATE_06182021.pdf</p>
SCS review	<p>The audit team reviewed the link provided above and confirms that it is up to date and demonstrates full conformance with requirement 1.6.a of the Standard. This Observation may therefore be closed.</p>
Status of CAR:	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2020.3	
Select one: <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
FMU CAR/OBS issued to: JDSF FMU	
Deadline	<input type="checkbox"/> Pre-condition to certification/recertification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> 12 months or next regularly scheduled audit (surveillance or re-evaluation) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other deadline (specify):
FSC Indicator	FSC Trademark Standard (V2-0), Indicator 1.5
Non-Conformity: Out of 6 trademark uses evaluated, 5 were found to be in conformance. However, no approval from SCS can be found for the FSC trademark use on group member JDSF’s trip tickets. These tickets are actively being used for timber sales.	
Corrective Action Request: The FME shall submit all intended uses of the FSC trademarks to SCS for approval.	
FME response <i>(including any evidence submitted)</i>	On May 5, 2021, MRCRM representative Sarah Billig received approval for JDSF’s current trip ticket (handwritten correction) and the new order version of the trip ticket from SCS resolving the Corrective Action. See documentation in the file.
SCS review	The audit team reviewed the approved version of the trip ticket and the associated SCS permission. This finding is therefore closed.
Status of CAR:	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

4.4 New Corrective Action Requests and Observations

Finding Number: 2021.1	
Finding and Deadline	
<input type="checkbox"/> Major CAR: Pre-condition to certification/recertification <input checked="" type="checkbox"/> Major CAR: 3 months from Issuance of Final Report <input type="checkbox"/> Minor CAR: 12 months or next regularly scheduled audit, whichever comes first (<i>surveillance or re-evaluation</i>) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other and deadline (specify):	
FMU CAR/OBS issued to (when more than one FMU): N/A	
Standard and Indicator	Indicators 6.6.a & 6.6.e 6.6.a No products on the FSC list of Highly Hazardous Pesticides are used (see FSC-POL-30-001 EN FSC Pesticides policy 2005 and associated documents). 6.6.e If chemicals are used, the effects are monitored and the results are used for adaptive management. Records are kept of pest occurrences, control measures, and incidences of worker exposure to chemicals.
<input checked="" type="checkbox"/> Non-Conformity Evidence <input type="checkbox"/> Observation Justification and/or Explanation Upgrade of Minor CAR 2020.1:	

Power utility and gas companies have easements allowing them to control vegetation in powerline corridors and above gas transmission pipelines that traverse some of the certified group member properties. During interviews with Auditor, the group manager stated that herbicides are likely applied by the utility and gas companies in these rights-of-ways.

FSC recently released an interpretation clarifying that “a right-of-way or other easement that is located within the boundaries of a certified MU is subject to FSC pesticide reporting. The names and quantities of pesticides applied, and size of area treated must be included in the certificate holder’s certification report summary of quantitative pesticides data. If the areas are excised from the scope of the certificate following FSC-POL-20-003, then the certificate holder is not required to report pesticide application in these areas” (INT-STD-20-2007a_03, dated 3 April 2020).

The FM Standard requires certificate holders to ensure that no products on the FSC list of Highly Hazardous Pesticides are used (Indicator 6.6.a), as well as requires monitoring any chemical use on the FMUs (Indicator 6.6.e). MRCRM has not requested information on herbicide applications from easement holders, nor has it placed chemical use restrictions on those easement holders; therefore, a CAR has been raised. It is graded as a Minor CAR because the non-conformity is limited to easements held by other parties, which are relatively small areas on group member properties.

Non-Conformity Corrective Action Request **Observation; no Corrective Action is required**

The group entity shall ensure that no products on the FSC list of Highly Hazardous Pesticides are used on certified group member properties. For chemicals that are used, the effects shall be monitored and the results used for adaptive management. Additionally, records shall be kept of pest occurrences, control measures, and incidences of worker exposure to chemicals applied on the FMUs.

From the 2021 audit:

Despite some evidence of outreach by third parties that conduct chemical application on rights-of-way within the FSC certificate scope (e.g., PG&E), there remains insufficient evidence that group members are systematically made aware of pesticide application on their properties. It is therefore not possible to determine a comprehensive list of chemicals applied on member properties, nor overall conformance with FSC-POL-30-001.

FME response
(including any evidence submitted)

SCS review

Status of CAR:

- Closed
- Upgraded to Major
- Other decision (refer to description above)

Finding Number: 2021.2

Finding and Deadline

- Major CAR:** Pre-condition to certification/recertification
- Major CAR:** 3 months from Issuance of Final Report
- Minor CAR:** 12 months or next regularly scheduled audit, whichever comes first (*surveillance or re-evaluation*)
- Observation** – response is optional

<input type="checkbox"/> Other and deadline (specify):	
FMU CAR/OBS issued to (when more than one FMU): N/A	
Standard and Indicator	FSC-US Forest Management Standard; 4.2.b 4.2.b The forest owner or manager and their employees and contractors demonstrate a safe work environment. Contracts or other written agreements include safety requirements.
<input checked="" type="checkbox"/> Non-Conformity Evidence <input type="checkbox"/> Observation Justification and/or Explanation The audit team observed one active harvesting job, at the Families Blue Lake property. In general, workplace safety was noted, including the use of hard hats, gloves, and eye protection. First aid kits and fire protections were also present on site. However, during review of the active harvest site, one subcontracted timber faller was observed wearing no chaps. He stated that in 23 years of working in his position, he has never worn chaps because he believes that they would encumber his work and cause him to trip more frequently. This situation is a direct violation of OSHA standards for logging operations, which require that the FME “assure that each employee who operates a chain saw wears leg protection constructed with cut-resistant material, such as ballistic nylon. The leg protection shall cover the full length of the thigh to the top of the boot on each leg to protect against contact with a moving chain saw.” (1910.266(d)(1)(iv)). Though the faller was not employed directly by the certificate holder or the Licensed Timber Operator, the FSC standard requirements for workplace safety also apply to contractors.	
<input checked="" type="checkbox"/> Non-Conformity Corrective Action Request <input type="checkbox"/> Observation; no Corrective Action is required The forest owner or manager and their employees and contractors shall demonstrate a safe work environment. Contracts or other written agreements include safety requirements.	
FME response <i>(including any evidence submitted)</i>	
SCS review	
Status of CAR:	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

Finding Number: 2021.3	
Finding and Deadline	
<input type="checkbox"/> Major CAR: Pre-condition to certification/recertification <input type="checkbox"/> Major CAR: 3 months from Issuance of Final Report <input checked="" type="checkbox"/> Minor CAR: 12 months or next regularly scheduled audit, whichever comes first (<i>surveillance or re-evaluation</i>) <input type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other and deadline (specify):	
FMU CAR/OBS issued to (when more than one FMU): Families Blue Lake	
Standard and Indicator	FSC-US Forest Management Standard; 6.7.a 6.7.a The forest owner or manager, and employees and contractors, have the equipment and training necessary to respond to hazardous spills
<input checked="" type="checkbox"/> Non-Conformity Evidence <input type="checkbox"/> Observation Justification and/or Explanation	

<p>The audit team observed one active harvesting job, at the Families Blue Lake property.</p> <p>During interviews with the harvesting crew, one worker stated that in his three years with the contractor, he had never seen nor used a spill kit, despite that hydraulic line breaks do occasionally occur. The worker stated that these spills are generally left to absorb into the soil; however, the risk of serious damage to the environment is minimized by the wide buffers surrounding riparian areas, per California Forest Practice Rules and as observed in the field. This finding is therefore graded as a Minor CAR.</p>	
<p><input checked="" type="checkbox"/> Non-Conformity Corrective Action Request <input type="checkbox"/> Observation; no Corrective Action is required</p> <p>The forest owner or manager, and employees and contractors, have the equipment and training necessary to respond to hazardous spills.</p>	
<p>FME response <i>(including any evidence submitted)</i></p>	
<p>SCS review</p>	
<p>Status of CAR:</p>	<p><input type="checkbox"/> Closed</p> <p><input type="checkbox"/> Upgraded to Major</p> <p><input type="checkbox"/> <i>Other decision (refer to description above)</i></p>

Finding Number: 2021.4	
Finding and Deadline	
<p><input type="checkbox"/> Major CAR: Pre-condition to certification/recertification</p> <p><input type="checkbox"/> Major CAR: 3 months from Issuance of Final Report</p> <p><input type="checkbox"/> Minor CAR: 12 months or next regularly scheduled audit, whichever comes first (<i>surveillance or re-evaluation</i>)</p> <p><input checked="" type="checkbox"/> Observation – response is optional</p> <p><input type="checkbox"/> Other and deadline (specify):</p>	
FMU CAR/OBS issued to (when more than one FMU): Jackson Demonstration State Forest	
Standard and Indicator	FSC-US Forest Management Standard; 8.2.d.4 8.2.d.4 Stakeholder responses to management activities are monitored and recorded as necessary.
<p><input type="checkbox"/> Non-Conformity Evidence <input checked="" type="checkbox"/> Observation Justification and/or Explanation</p> <p>During the field visit to Jackson Demonstration State Forest, the auditor discussed ongoing conflicts (e.g., disruptions of active harvests) with stakeholder activists that wish to impose a moratorium on logging at JDSF.</p> <p>As observed, JDSF conducts an adequate amount of public engagement prior to the creation of timber harvest plans, via community feedback sessions, the Jackson Advisory Group, and events such as the recent Push for Public Engagement. Moreover, JDSF has shown a willingness to alter plans based on stakeholder feedback from recreational groups; for example, the Caspar 500 THP was amended for higher retention of aesthetically pleasing trees near trails frequented by local community members. However, given the high degree of interest from various regional stakeholder groups, the auditor concludes that there may be an opportunity for JDSF to receive and process feedback in a more systematic, transparent, and ongoing manner.</p>	

<input type="checkbox"/> Non-Conformity Corrective Action Request <input checked="" type="checkbox"/> Observation; no Corrective Action is required Stakeholder responses to management activities are monitored and recorded as necessary. MRCRM is meeting the requirement to monitor and record stakeholder feedback, but could improve its transparency and consistency in this process.	
FME response <i>(including any evidence submitted)</i>	
SCS review	
Status of CAR:	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

Finding Number: 2021.5	
Finding and Deadline	
<input type="checkbox"/> Major CAR: Pre-condition to certification/recertification <input type="checkbox"/> Major CAR: 3 months from Issuance of Final Report <input type="checkbox"/> Minor CAR: 12 months or next regularly scheduled audit, whichever comes first (<i>surveillance or re-evaluation</i>) <input checked="" type="checkbox"/> Observation – response is optional <input type="checkbox"/> Other and deadline (specify):	
FMU CAR/OBS issued to (when more than one FMU): N/A	
Standard and Indicator	FSC Forest Management Groups Standard; 2.1.b
<input type="checkbox"/> Non-Conformity Evidence <input checked="" type="checkbox"/> Observation Justification and/or Explanation As of March 2021, group members’ declarations of consent must include a confirmation that they do not belong to another FSC group certificate. Though MRCRM staff attested that this information is verbally assured during the onboarding process, it is not explicitly included in MRCRM’s current Participation Agreement. This finding is graded as an Observation because the group standard became effective on March 16, 2021, and all new members came in March of 2020.	
<input type="checkbox"/> Non-Conformity Corrective Action Request <input checked="" type="checkbox"/> Observation; no Corrective Action is required A declaration of consent shall be signed by each member wishing to join a group. In the declaration, the member shall: b) declare that the management units they are bringing into the group are not included in another FSC certificate.	
FME response <i>(including any evidence submitted)</i>	
SCS review	
Status of CAR:	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>

5. Stakeholder Comments

In accordance with SCS protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. Distinct purposes of such consultation include:

- To solicit input from affected parties as to the strengths and weaknesses of the FME’s management, relative to the standard, and the nature of the interaction between the FME and the surrounding communities.
- To solicit input on whether the forest management operation has consulted with stakeholders regarding identifying any high conservation value forests (HCVFs).

Stakeholder consultation activities are organized to give participants the opportunity to provide comments according to general categories of interest based on the three FSC chambers, as well as the SCS Interim Standard, if one was used.

5.1 Stakeholder Groups Consulted

Principal stakeholder groups are identified based upon results from past evaluations, lists of stakeholders from the FME under evaluation, and additional stakeholder contacts from other sources. Stakeholder groups who are consulted as part of the evaluation include FME management and staff, consulting foresters, contractors, lease holders, adjacent property owners, local and regionally-based social interest and civic organizations, purchasers of logs harvested on FME forestlands, recreational user groups, tribal members and/or representatives, members of the FSC National Initiative, members of the regional FSC working group, FSC International, local and regionally-based environmental organizations and conservationists, and forest industry groups and organizations, as well as local, state, and federal regulatory agency personnel and other relevant groups.

5.2 Summary of Stakeholder Comments and Evaluation Team Responses

The table below summarizes the comments falling within scope of the standard received from stakeholders and the assessment team’s response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from SCS are noted below.

<p><input checked="" type="checkbox"/> <i>FME has not received any stakeholder comments from interested parties (who are not members of the enterprise under evaluation) as a result of stakeholder outreach activities during this annual evaluation.</i></p>
<p>Summary of Outreach Activities Conducted (Check all that apply):</p> <p><input type="checkbox"/> Face to face meetings</p> <p><input type="checkbox"/> Phone calls</p> <p><input checked="" type="checkbox"/> Email, or letter</p> <p><input type="checkbox"/> Notice published in the national and/or local press</p> <p><input type="checkbox"/> Notice published on relevant websites</p> <p><input type="checkbox"/> Local radio announcements</p> <p><input type="checkbox"/> Local customary notice boards</p>

Social media broadcast

6. Certification Decision

The certificate holder has demonstrated continued overall conformance to the applicable Forest Stewardship Council standards. The SCS annual evaluation team recommends that the certificate be sustained, subject to subsequent annual evaluations and the FME's response to any open CARs.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Comments: N/A	

7. Annual Data Update

<input type="checkbox"/> No changes since previous evaluation.	
<input checked="" type="checkbox"/> Information in the following sections has changed since previous evaluation.	
<input type="checkbox"/> Name and Contact Information <input type="checkbox"/> FSC Sales Information <input checked="" type="checkbox"/> Scope of Certificate <input type="checkbox"/> Non-SLIMF FMUs <input type="checkbox"/> Social Information	<input type="checkbox"/> Pesticide and Other Chemical Use <input type="checkbox"/> Production Forests <input type="checkbox"/> FSC Product Classification <input type="checkbox"/> Conservation & High Conservation Value Areas <input type="checkbox"/> Areas Outside of the Scope of Certification

Name and Contact Information

Organization name	Mendocino Redwood Company (Resource Manager)		
Contact person	Hayley Ross		
Address	PO Box 996	Telephone	707-962-2814
	Ukiah, CA 95418	Fax	N/A
		e-mail	hross@mendoco.com
		Website	https://www.mendoco.com/welcome

FSC Sales Information

<input checked="" type="checkbox"/> FSC Sales contact information same as above.
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Scope of Certificate

Certificate Type	<input type="checkbox"/> Single FMU	<input type="checkbox"/> Multiple FMU
	<input checked="" type="checkbox"/> Group	
SLIMF (if applicable)	<input type="checkbox"/> Small SLIMF certificate	<input type="checkbox"/> Low intensity SLIMF certificate
	<input type="checkbox"/> Group SLIMF certificate	
# Group Members (if applicable)	22	
Number of FMUs in scope of certificate	27	
Geographic location of non-SLIMF FMU(s)	Latitude & Longitude:	

		39.179918, -123.199857	
Forest zone		<input type="checkbox"/> Boreal	<input checked="" type="checkbox"/> Temperate
		<input type="checkbox"/> Subtropical	<input type="checkbox"/> Tropical
Area in scope of certificate which is:		Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac	
privately managed	44,641		
state managed	48,652		
community managed	0		
Total forest area in scope of certificate <i>(Is also equal to [productive area] + [conservation area])</i>		93,293 acres	
Prior year total forest area in scope of certificate <i>(from prior year report)</i>			
Has Total forest area changed from prior year?		<input type="checkbox"/> No Change from prior year <input checked="" type="checkbox"/> Yes, there was a change from prior year. Explain change: Acreages recalculated, and one participant disenrolled.	
Number of FMUs in scope that are:			
less than 100 ha in area	11	100 - 1000 ha in area	12
1000 - 10 000 ha in area	3	more than 10 000 ha in area	1
Total forest area in scope of certificate which is included in FMUs that:		Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac	
are less than 100 ha in area	1,439		
are between 100 ha and 1000 ha in area	16,433		
meet the eligibility criteria as <i>low intensity</i> SLIMF FMUs	0		
Division of FMUs into manageable units:			

Non-SLIMF FMUs (Group or Multiple FMU Certificates)

Name	Contact information	Latitude/ longitude of Non-SLIMF FMUs
<i>For confidentiality, group members and identifying information are maintained on SCS's server.</i>		

Social Information

Number of forest workers (including contractors) working in forest within scope of certificate (differentiated by gender):		
Male workers: 254	Female workers: 27	
Number of accidents in forest work since previous evaluation:	Serious: 0	Fatal: 0

Pesticide and Other Chemical Use

<input type="checkbox"/> FME does not use pesticides.				
Commercial name of pesticide / herbicide	Active ingredient	Quantity applied since previous evaluation (kg or lbs.)	Total area treated since previous evaluation (ha or ac)	Reason for use
Accord XRTII	Glyphosate	21 lbs	20 acres	Hardwoods for fire control; control of invasives; Powerline ROW veg mgmt
Garlon 4 Ultra	Triclopyr	2 lbs	2 acres	Control of invasives
Alligare 4 SL	Imazapyr	240 lbs	267 acres	Control of competing vegetation (tanoaks)
Milestone	Aminopyralid	0.12 lbs	3 acres	Powerline ROW vegetation management
Garlon 3A	Triclopyr	0.36 lbs	3 acres	Powerline ROW vegetation management
Polaris	Imazapyr	1.8 lbs	3 acres	Powerline ROW vegetation management
Vastlan	Triclopyr	0.36 lbs	3 acres	Powerline ROW vegetation management

Production Forests

Timber Forest Products	Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac
Total area of production forest (i.e. forest from which timber may be harvested)	90,951
Area of production forest classified as 'plantation'	0
Area of production forest regenerated primarily by replanting or by a combination of replanting and coppicing of the planted stems	90,951

Area of production forest regenerated primarily by natural regeneration, or by a combination of natural regeneration and coppicing of the naturally regenerated stems	0
Silvicultural system(s)	Area under type of management
Even-aged management	0
Clearcut (clearcut size range: NA)	0
Shelterwood	0
Other:	0
Uneven-aged management	0
Individual tree selection	0
Group selection	0
Other: Mix of individual tree selection and group selection	93,707.3
<input type="checkbox"/> Other (e.g. nursery, recreation area, windbreak, bamboo, silvo-pastoral system, agro-forestry system, etc.)	0
Non-timber Forest Products (NTFPs)	
Area of forest protected from commercial harvesting of timber and managed primarily for the production of NTFPs or services	0
Other areas managed for NTFPs or services	0
Approximate annual commercial production of non-timber forest products included in the scope of the certificate, by product type	0
Species in scope of joint FM/COC certificate: (Scientific / Latin Name and Common / Trade Name)	
<i>Sequoia sempervirens</i> (redwood), <i>Pseudotsuga menziesii</i> (Douglas-fir), <i>Abies concolor</i> (white fir), <i>Tsuga heterophylla</i> (hemlock), <i>Lithocarpus densiflorus</i> (tanoak), <i>Arbutus menziesii</i> (madrone), <i>Abies grandis</i> (grand fir), and <i>Picea sitchensis</i> (Sitka spruce)	

FSC Product Classification*

Timber products		
Product Level 1	Product Level 2	Species
W1	W1-1 – Roundwood (logs)	<i>Sequoia sempervirens</i> (Redwood), <i>Pseudotsuga menziesii</i> (Douglas fir)
W1	W1-2 – Fuel wood	<i>Abies concolor</i> (white fir), <i>Tsuga heterophylla</i> (hemlock), <i>Lithocarpus densiflorus</i> (tanoak), <i>Arbutus menziesii</i> (madrone), <i>Abies grandis</i> (grand fir), <i>Picea sitchensis</i> (Sitka spruce)
W3	W3-1 – Wood chips	<i>Sequoia sempervirens</i> (Redwood), <i>Pseudotsuga menziesii</i> (Douglas fir)
Non-Timber Forest Products		
Product Level 1	Product Level 2	Product Level 3 and Species
NA	NA	NA

*Note: W1, W2, and W3 product groups usually do not require a separate evaluation to FSC-STD-40-004 (COC) if processing occurs in the field for FM/COC and CW/FM certificate types. N1-N10 (NTFPs) are eligible to be sold with FSC claims under FM/COC certification if reported here. Bamboo and NTFPs derived from trees (e.g. cork, resin, bark) may be eligible for FM/COC and CW/FM certification. NTFPs used for food and medicinal purposes are not eligible for CW/FM certification. Check with SCS if you have any products intended to be sold with an FSC claim outside of any of these categories.

Conservation and High Conservation Value Areas

Conservation Area	Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac
Total amount of land in certified area protected from commercial harvesting of timber and managed primarily for conservation objectives (includes both forested and non-forested lands).*	2,342

**Note: Total conservation and HCV areas may differ since these may serve different functions in the FME’s management system. Designation as HCV may allow for active management, including commercial harvest. Conservation areas are typically under passive management, but may undergo invasive species control, prescribed burns, non-commercial harvest, and other management activities intended to maintain or enhance their integrity. In all cases, figures are reported by the FME as it pertains local laws & regulations, management objectives, and FSC requirements.*

High Conservation Value Forest / Areas			Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac
Code	HCV Type	Description & Location	Area
HCV1	Forests or areas containing globally, regionally or nationally significant concentrations of biodiversity values (e.g. endemism, endangered species, refugia).	Type I and II old growth (JDSF, Mailliard Ranch, and 10-Mile Parker); NSO core areas (most participant sites); riparian areas; MAMU areas (JDSF)	7,500
HCV2	Forests or areas containing globally, regionally or nationally significant large landscape level forests, contained within, or containing the management unit, where viable populations of most if not all naturally occurring species exist in natural patterns of distribution and abundance.	-	0
HCV3	Forests or areas that are in or contain rare, threatened or endangered ecosystems.	-	0
HCV4	Forests or areas that provide basic services of nature in critical situations (e.g. watershed protection, erosion control).	-	0
HCV5	Forests or areas fundamental to meeting basic needs of local communities (e.g. subsistence, health).	-	0
HCV6	Forests or areas critical to local communities’ traditional cultural identity (areas of cultural, ecological, economic or religious significance identified in cooperation with such local communities).	-	0
Total area of forest classified as ‘High Conservation Value Forest / Area’			7,500

Areas Outside of the Scope of Certification (Partial Certification and Excision)

<input type="checkbox"/> N/A – All forestland owned or managed by the certificate holder is included in the scope.
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<input checked="" type="checkbox"/> Certificate holder owns and/or manages other FMUs not under evaluation.		
<input type="checkbox"/> Certificate holder wishes to excise portions of the FMU(s) under evaluation from the scope of certification.		
Note: Excision cannot be applied to CW/FM certificates.		
Explanation for exclusion of FMUs and/or excision:	Jackson Demonstration State Forest is owned and managed by the State of California. It is the only California state forest that is certified; there are eight state forests in all. All other seven state forests are excluded from the scope of this certificate. The owner of the SLIMF FMU Bear Creek also owns a parcel in a separate county. That parcel is not included in the NTMP that covers Bear Creek.	
Control measures to prevent mixing of certified and non-certified product (C8.3):	None of the state forests are contiguous, nor do they conduct harvests or sales jointly. Therefore, there is no risk of mixing certified wood products from JDSF with non-certified wood products from other state forests.	
Description of FMUs excluded from, or forested area excised from, the scope of certification:		
Name of FMU or Stand	Location (city, state, country)	Size (<input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac)
LaTour	Shasta, CA	9,033
Mountain Home	Tulare, CA	4,807
Boggs Mountain	Lake, CA	3,493
Soquel	Santa Cruz, CA	2,681
Las Posadas	Napa, CA	796
Mount Zion	Amador, CA	164
Second property of group member	Elk, CA	320